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Atty. Dkt. No. 032026-0538

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Ferencz S. Denes, et al.

Title: METHOD AND APPARATUS  
FOR PRODUCING COLLOIDAL  
NANOPARTICLES IN A DENSE  
MEDIUM PLASMA

Appl. No.: 09/880,737

Filing Date: 06/13/2001

Art Unit: 1615

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below.

Harry C. Engstrom

(Printed Name)

[Signature]

(Signature)

November 5, 2004

(Date of Deposit)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

**RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

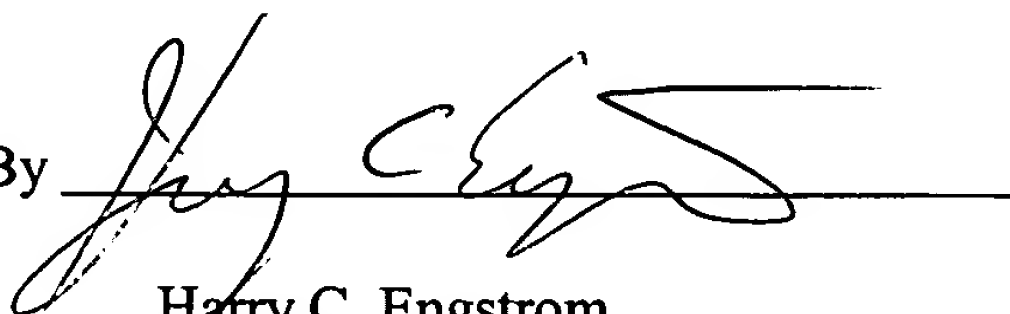
Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-2350. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-2350.

Respectfully submitted,

Dated: November 5, 2004

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Form PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 032026:0538		SERIAL NO. 09/880,737		
<b>INFORMATION DISCLOSURE CITATION</b>  Submitted: November 5, 2004  <i>(Use several sheets if necessary)</i>				APPLICANT Ferencz S. Denes, et al.				
				FILING DATE June 13, 2001		GROUP ART UNIT 1615		
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
		5,368,724	11/1994	Ayers, et al.				
		5,655,210	8/1997	Gregoire, et al.				
		5,766,447	6/1998	Creighton, Yves L. M.				
		5,876,663	3/1999	Laroussi, Mounir				
		6,096,564	8/2000	Denes, et al.				
		6,187,206	2/2001	Bernier, et al.				
		6,228,266	5/2001	Shim, Soon Yong				
		6,264,898	7/2001	Ingram, Michael W.				
		6,328,898	12/2001	Akiyama, et al.				
		2002/0037320	3/2002	Denes et al.				
		2002/0074290	6/2002	Jensen, Donald H.				
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
		Akira Mizuno, et al., "Destruction of Living Cells by Pulsed High-Voltage Application," IEEE Trans. on Industrial Applications, Vol. 24, No. 3, May/June 1988, pp. 387-394.						
		Norman F. Marriott, Principles of Food Sanitation (book), Van Nostrand Reinhold, New York, 1989, pp. 106-109.						
		George J. Banwart, Basic Food Microbiology (book), Van Nostrand Reinhold, New York, 1989, pp. 682, 684, 694, 695.						
		Masayuki Sato, et al., "Formation of Chemical Species and Their Effects on Microorganisms Using a Pulsed High-Voltage Discharge in Water," IEEE Trans. on Industrial Applications, Vol. 32, No. 1, Jan./Feb. 1996, pp. 106-112.						
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>				
* <b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.								